170. MNDO Analysis of Regio- and Stereoselectivity in Hydroboration

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The origin of regioselectivity in hydroboration of olefins has been analyzed by MNDO methodology. With a standard transition structure derived from full MNDO optimizations, a linear correlation between calculated and experimental regioselectivities has been found. A similar approach applied to asymmetric inductions of hydroboration with chiral hydroborating agents lead also to an acceptable linear correlation between calculated and experimental results. The MNDO method has been used for a search of alternative hydroborating agents.

The observation by *H. C. Brown* that hydroboration of symmetrical olefins with chiral mono- and dialkylboranes followed by oxidation yields optically active alcohols has gained increasing attention [1] [2]. Due to the high enantiomeric purity which can be achieved by optimization of reaction conditions, asymmetric syntheses of natural products and other chiral compounds with hydroboration as the key step are no longer unusual [3] [4]. Apart from the remarkable results by *Masamune*, asymmetric hydroboration often makes use of alkylboranes which are derived from (+)- α -pinene [5]. In continuation of our experimental and theoretical studies, we have analyzed the factors which may control selectivity in hydroboration with the aim to design new reagents with high asymmetric induction [6].

According to the mechanistic investigations by *H.C. Brown*, an olefin reacts in the rate-determining step with BH₃ which is formed by dissociation of a BH₃·Me₂S or BH₃·THF complex prior to reaction with the olefin [7]. As a first approximation, solvent effects on the addition can, therefore, be ignored, and computer calculations for the gas phase can be used for the reaction in solution. Expensive optimizations of geometry parameters of solvent molecules, which are only approximately known, can thus be avoided [8]. In most cases, hydroboration of di- or trisubstituted olefins is performed in a temperature range in which the primarily formed addition products do not isomerize to the more stable alkylboranes, where the B-atom is located at a terminal C-atom [9]. Based on *ab-initio* calculations by *Lipscomb* [10], *Schleyer* [11], and *Nagase* [12], the structural features of the reaction of ethylene with BH₃ can be described in detail: The addition occurs by a π -complex and leads *via* a four-centre transition state to the products in a highly exothermic reaction.

White showed that stereoselective hydroboration of sterically hindered cyclohexenes can be reproduced rather well by calculations based on a special force field [13]. Asymmetric hydroboration of alkenes and olefins with chiral substituents has been studied by Houk [14]. Using a combination of MM2-force-field and *ab-initio* calculations, the enantio- and diastereoselectivity could be well described by transition structures. The force-field methods used by *White* and *Houk* allow very fast computations, even on a personal computer, but are not well suited for searching transition-state structures because they ignore electronic phenomena and calculations with most heteroatoms because of lack of parameters. *Ab-initio* calculations of structures like isopinocampheylborane ((Ipc)BH₂) with large basis sets, full optimization of geometry, and inclusion of electron correlation, necessary for the study of a transition state, are still beyond the capacity of present-day computers [15]. In our view, *Houk*'s method of first optimizing the transition-state geometry of a structure with *Allinger*'s MM2 force field [16] and then estimating the energy by an *ab-initio* (3-21G) method is not sufficiently reliable. In *ab-initio* calculations, even small changes in geometry may lead to large differences in energy and, therefore, the *ab-initio* energy of a MM2-transition structure will probably be very different from the energy of a fully optimized *ab-initio* transition state [15].

For our investigations, we prefer the MNDO method [17] because this quantumchemical procedure yields optimized geometries, energies, and electronic structures simultaneously and implicitely considers electron correlation. The MNDO method is fast in comparison with *ab-initio* calculations and gives results which are comparable with (6-31G) calculations [15a]. MNDO and CNDO studies of the mechanism of the hydroboration have been reported [18] [19].

Results and Discussion. -1. Regioselectivity. Although the mechanism of hydroboration indicates that the addition of BH₃ (1) to olefins 2 is strongly exothermic [7] [10–12], it is of interest whether the regioselectivity is related to the products formed. According to our MNDO results, the most stable conformations of 1-propyl- (3a) and isopropylborane (5a) differ by 3.3 kcal/mol. An energy difference of 1.5 kcal/mol was found for conformers 3b and 5b which contain an ecliptic CH₃ and a planar BH₂–C group and might resemble the transition states of the addition more closely.

In comparison with the experimental results that propanol (4) and isopropyl alcohol (6) are formed by hydroboration/oxidation in a ratio of 94:6 [1], the calculated energy



difference for the regioisomeric alkylboranes 3a and 5a is too large¹). The energy difference between 3b and 5b is closer to the expectation value, but appears to be unreliable in view of the highly exothermic reaction. Based on this and similar results for other olefins (where in some cases the computed ratio of regioisomers is inverse as compared with the experimental result), it may be concluded that the regioselectivity is related to activation energies rather than heats of reaction.

The subsequent MNDO simulation of the addition of BH₃ to ethylene gave a reaction profile which closely resembles the *ab-initio* (6-31G**//4-31G/SCF) results [12] (*Fig. 1*). The formation of the π -complex (*Fig. 1, b*) prior to the transition state is well reproduced by the MNDO method. In both methods, the bond distance $d(B \cdots C)$ of the transition structure is very similar, whereas the MNDO angle \neq (H \cdots B \cdots C) is 15° larger. The small deformation of the olefin in the transition state (*Fig. 1, c*; $\alpha = 18.2^\circ, \beta = 21.9^\circ$) is in qualitative accord with the secondary isotope effect [21]. The activation energy of 2.7 kcal/mol is to be compared with the *ab-initio* value of 6.7 kcal/mol which appears to be too high by a factor of 2 [12]. An activation enthalpy of 2 ± 3 kcal/mol has been measured for the reaction between BH₃ and ethylene in the gas phase [22].

For analysis of the regioselectivity, the transition states of addition of BH₃ to the olefins 7 (see *Table 2*) have been fully optimized by the gradient method [23]. From these structures, which have very similar dimensions and differ slightly from *Houk*'s data [14a], average bond angles and bond distances for the central $H \cdots B \cdots C \xrightarrow{\cdots} C$ fragment were



Fig. 1. MNDO-energy profile for the reaction of BH_3 with $CH_2 = CH_2$ and structural data for the transition state. Heats of formation (kcal/mol) are given. $a: CH_2 = CH_2$ and BH_3 in a distance of 5 Å; $b: \pi$ -complex; c: transition state; d: ethylborane in the most stable conformation.

¹) Throughout this paper, it is assumed that the alcohols obtained from olefins by hydroboration/oxidation are formed in kinetically controlled reactions and that no isomerisations have occurred during oxidative workup of the alkylboranes [20].





calculated (*Table 1*, I). In the subsequent calculations, all substituents were optimized according to the method of *Davidon*, *Fletcher*, and *Powell* (DFP method [24]), while the averaged parameters of the central $H \cdots B \cdots C \xrightarrow{\dots} C$ structure were kept constant. The energies and geometries of these transition structures, requiring much less computer time, hardly differ from the fully optimized MNDO transition states (see below).

$\begin{array}{c} R^2 \\ C(1)=C(2) \\ R^1 \\ 7 \\ R^3 \end{array}$ Ratio of products								
	\mathbf{R}^{1}	\mathbb{R}^2	\mathbb{R}^3	\mathbb{R}^4	[kcal/mol]	calc. ^b)	exp. ^c)	$c_1^2:c_2^{2d})$
a	CH ₃	Н	CH ₃	CH ₃	1.16	88:12	98:2	0.44:0.41
Ъ	CH ₃	Н	н	CH ₂ Cl	-3.65	< 1:99	1:99	0.41:0.45
c	н	Н	CH_3	CH ₃	1.85	96:4	99:1	0.46:0.42
d	CH ₃	CH_3	Cl	Н	-3.28	< 1:99	1:99	0.37:0.38
e	Н	н	н	(CH ₃) ₃ C	1.48	93:7	94:6	0.47:0.45
f	н	Н	н	CH_2Cl^e)	0.86	81:19	60:40	0.47:0.45
g	CH ₃	CH ₃	Н	(CH ₃) ₃ C	-0.39	34:66	2:98	0.41:0.42
ĥ	CH_3	H	Н	(CH ₃) ₂ CH	0.24	58:42	57:43	0.44:0.44

Table 2. Ratios of Regioisomeric Alkylboranes from 7 and BH3

^a) Difference of MNDO-activation enthalpies.

^b) Product ratios calculated for ΔH^{\neq} using the *Eyring* equation [25] for the temperature of the experimental hydroboration.

^c) Calculated from the alcohols isolated [1].

d) HOMO coefficients of the olefin from MNDO calculations.

e) Synplanar conformation.

Therefore, regioselectivities in hydroboration can reliably be obtained by a procedure in which the transition-state structures (Fig. 1, c) are approximated and which is computationally much faster. From the difference in activation energies for the formation of regioisomeric alkylboranes, relative rates and product ratios can be obtained¹)²) (Tab.2). In this way, an acceptable linear relationship (r = 0.79) between computed and experimental rates has been obtained for the regioselectivity in hydroboration of simple olefins (Fig.2). In order to evaluate the influence of entropy on the product distribution, the activation entropies have been calculated for the olefins 7 of Table 2 and incorporated into the relative rates. In comparison with the experimental results, a smaller r-factor (r = 0.69) was found. This may indicate that the MNDO method is more reliable for activation energies than for entropies. Together with the fact that entropies can be calculated by the MNDO programs used [17] [26] only after full gradient optimization, the method of using standard geometries for transition structures and evaluation of selectivities as used here is to be preferred.

When applied to the hydroboration of bridgehead substituted norbornenes, this procedure gave results consistent with the experimental regioselectivities [6] [27].

We had earlier proposed that regioselectivity in hydroboration can qualitatively be described by the ratio of the squared coefficients of HOMO ($(c_{\mu}^2:c_{\nu}^2)_{HOMO}$) in the olefinic double bond [6]³). The small energy gap between the π -complex and the transition state as



Fig. 2. Correlation between experimental and calculated regioselectivities of selected olefins 7 in hydroboration with BH_3 (cf. Table 2). $lgk_{calc.} = 0.932 \, lgk_{exp.} + 0.08$ (r = 0.79).

³) According to intermolecular perturbation theory [28], the ratio $(c_{\mu}^2:c_{\nu}^2)_{HOMO}$ – and not $(c_{\mu}:c_{\nu})_{HOMO}$ as suggested by *Nelson* and *Cooper* [29] – is directly related to ratios of interaction energies.

²) Relative rates $k_{\text{cale.}}$ were calculated *via* the *Eyring* equation [25]; the values of $k_{\text{exp.}}$ were calculated from the ratios of alkohols for the temperature of the hydroboration reaction.

well as the small deformation from planarity in the olefin between structure b and c (see Fig. 1) are in support of this notion (cf. Table 2). Recently, Nelson and Cooper provided evidence that the relative rates of the hydroboration of olefins with 9-borabicyclo-[3.3.1]nonane correlates with the energy levels of HOMO in the alkenes as determined by the first ionisation potential and MNDO calculations [29].



2. Asymmetric Induction. In recent years, considerable progress has been made in optimization of stereoselective and asymmetric hydroboration [2] [4]. This is mainly due to the preparation of optically pure hydroborating agents (e.g. 8–9), separation of diastereoisomeric dialkylboranes prior to oxidation, and the synthesis of new hydroborating agents (e.g. 9) with high asymmetric induction [5].

First, we consider the reaction of (1R,2S,3R,5R)-isopinocampheylborane (8a) with (E)-2-butene (11) which leads via (Si)-attack to (S)-12a and subsequent oxidation to (S)-2-butanol (13). (R)-2-Butanol (15) is formed via the alkylborane (R)-14a. Subsequently, the reactions with (Z)-2-butene and those of di[(1R,2S,3R,5R)-isopinocampheyl]borane (8b) and (2R,5R)-2,5-dimethylborolane (9) with the same olefins are analyzed.



A standard geometry for the transition structures was extracted from the fully optimized diastcreoisomeric transition states for the reactions mentioned above (8a, b + 18; see *Table 1*, 11)⁴). In comparison with the transition structure I used for addition of BH₃ to olefins, the distance $d(B \cdots C) = 1.78$ Å is slightly longer; whereas the elongation of the

⁴) For B in transition states with 8a resp. 8b, only (Si)-topicity (cf. Table 1) is considered [14a].



Table 3. Geometry Parameters Found in Transition Structures 16 and 17a.b. $\beta' = \beta + 107.5^{\circ}$ (cf. Table 1).

olefinic double bond is smaller. The angles β' resp. γ between the quasi-ecliptic substituents at the B- and the adjacent C-atom increase with sterically demanding substituents (see 16 and 17, *Table 3*).

In the reaction of (E)-2-butene (11) with (lpc)BH₂ (8a), (Si)-attack leads to a transition structure with a dihedral angle ω (H-B-C(3)-C(4)) of ca. 60° (Fig. 3a). Rotation of the isopinocampheyl moiety around the B-C bond gives rise to two conformational minima at ca. 175 and 330° with energies 2.9 and 2.13 kcal/mol, respectively, above the one of the transition structure (Fig. 3a)⁵). Likewise, three conformational minima are found for (Re)-attack, when the isopinocampheyl group is rotated (Fig. 3b). The minima at ca. 50 and 320° hardly differ in their energy, whereas the conformer with a dihedral angle of ca. 200° lies 1.89 kcal/mol above the transition structure. The energy of the transition structure being 1.07 kcal/mol higher than for (Si)-attack is consistent with the experimental observations of hydroboration of (E)-2-butene with (lpc)BH₂(8a; Table 4).

In the reaction of $(Ipc)BH_2$ (**8a**) with (Z)-2-butene, very similar energies were obtained for the transition structures of (Si)- and (Re)-attack, respectively. This result is compatible with the 60:40 mixture of 2-butanols, found experimentally (*Table 4*). In both cases, the dihedral angle $\omega(H-B-C(3)-C(4))$ is close to 40°. Rotation of the isopinocampheyl group around the B-C bond gives rise to two more conformational minima at *ca.* 190 and 340° with energies 1.53 and 0.92 kcal/mol, respectively, above the one of the transition structure. Similarly, for (*Re*)-attack, two additional conformers were found which are less stable by 1.43 and 1.5 kcal/mol, respectively, than the corresponding transition structure. The quasi-ecliptic arrangement of the substituents at the B-atom and the adjacent C-atom of the double bond, the large angles β' and γ found in transition structures II (see *Table 3*), those for attack of (Ipe)₂BH (**8b**), and the strong rotational dependency indicate that the asymmetric induction is due to steric repulsions. In view of this concept, the extraordinarily high asymmetric induction obtained by *Masamune* in the reaction of (*Z*)-2-butene with (2*R*,2*R*)-2,5-dimethylborolane (**9**) is informative [5]: In the transition structure for (*Si*)-attack, the shortest $H \cdots H$ distances

⁵) The conformational changes of the olefinic CH₃ groups were small.



Fig. 3. Energy of the transition structure for reaction of (1R,2S,3R,5R)- $(Ipc)BH_2(8a)$ with (E)-2-butene (11) as a function of the dihedral angle $\omega(H-B-C(3)-C(4)H_2)$. Heats of formation (kcal/mol) are given. a) (Si)-attack (ORTEP-plot of the transition structure); b) (Re)-attack.

Entry	Olefin	R^1R^2BH	í	$\Delta \Delta H^{\neq a}$)	Ratio (S)	$\overline{(R)}$
	18	$\overline{\mathbb{R}^1}$	R ²	[kcal/mol]	calc. ^b)	exp. ^c)
a	(Z)-2-Butene	Ipc	H ^d)	-0.09	46:54	60:40
b	(E)-2-Butene	Ipc	\mathbf{H}^{d})	1.37	91: 9	87:13
с	2-Methyl-2-butene	Ipc	H ^d)	0.39	66:34	77:23
d	(Z)-2-Butene	lpc	Ipc ^e)	-3.57	< 1:99	1:99
e	(E)-2-Butene	Ipc	lpc ^e)	1.21	89:11	58:42
f	(Z)-2-Butene	ſ)	•	3.37	> 99: 1	99.5:0.5
g	(E)-2-Butene	5		2.25	99: 2	99 :1

Table 4. (S)/(R) Ratios of Chiral Alcohols

^a) Difference of MNDO-activation enthalpies for diastereoisomeric transition structures (see text).

^b) Product ratios calculated for AH^{\neq} using the *Eyring* equation [25] for the temperature of the experimental hydroboration.

^c) Calculated from the alcohols isolated [4] [5].

^d) (1R, 2S, 3R, 5R)-Isopinocampheylborane (8a).

e) Di[(1R,2S,3R,5R)-Isopinocampheyl]borane (8b).

f) (2R,5R)-2,5-Dimethylborolane (9) [5].

between the borolane ring and (Z)-2-butene are 2.31 and 2.45 Å and are thus much smaller than that found in addition of (Ipc)BH₂ (2.94 and 2.89 Å).

With the standard parameters (*Table 1*, **II**) for the structural fragment $H \cdots B \cdots C \xrightarrow{\dots} C$ and full optimization of the substituents by the DFP method, relative activation energies for asymmetric hydroborations have been determined (*Table 4*). Given the limited number of substrates considered, the computed product ratios correspond rather well with the experimental results. Including MNDO results obtained for hydroboration of (*Z*)- and (*E*)-2-butene with (2*R*,5*R*)-2,5-dimethylborolane (**9**) [5] with the standard parameter set **II** (*Table 1*), a good linear relation between computed and experimental product ratios has been obtained (r = 0.92; *Fig. 4*). The regression line lies above the one to be expected for an exact correspondance between computed and experimental rates and has a larger slope. This indicates that the computed asymmetric inductions are slightly larger than those reported.



Fig. 4. Correlation between experimental and calculated asymmetric inductions in hydroboration of some olefins (cf. Table 4); $lgk_{calc.} = 1.129 lgk_{exp.} + 0.142 (r = 0.92)$

3. Search for Alternative Hydroborating Agents. The successful MNDO simulation of the regioselectivity and asymmetric induction lead to a search for hydroborating agents which may enhance selectivity. Brown had shown that regioselectivity and stereoselectivity can be improved when olefins are hydroborated with haloboranes like BH₂Cl, BH₂Br, or BHBr₂ instead of BH₃ [30]. Using model I (*Table 1*), our MNDO results indicate that the regioselectivity indeed increases, when **7a** and **7h** are reacted with BH₂Cl instead of BH₃. It was, therefore, of interest to explore whether asymmetric induction could also be improved using chiral haloboranes. When (Ipc)BHX with X = F, Cl, Br, or CH₃O instead of (Ipc)BH₂ was added to (Z)-2-butene, the enantiomeric ratio computed with model II (*Table 1*) increased considerably (*Table 5*)⁶).

⁶) For use of (Ipc)BX₂(X = F,Br) as catalyst for asymmetric *Diels-Alder* reactions, see [31].

Olefin	R^1R^2BH	ł	$\Delta \Delta H^{\neq a}$) [kcal/mol]	Ratio $(S)/(R)^{b}$	
	R ¹	R ²			
(Z)-2-Butene	Ipc	F	2.45	99: 1	
	Ipc	Cl	1.86	96: 4	
	Ipc	Br	2.13	97: 3	
	Ipc	CH ₃ O	4.62	> 99: 1	
(E)-2-Butene	Ipc	F	1.23	89:11	
	Ipc	Cl	1.69	95: 5	
	Ipc	Br	1.94	93: 3	
	Ipc	CH ₃ O	1.65	94: 6	
(Z)-2-Butene	°)	Н	-0.24	40:60	
		F	3.21	> 99: 1	
		Cl	1.42	92: 8	
		CH ₃ O	3.63	> 99: 1	
(E)-2-Butene	c)	н	0.25	60:40	
		F	0.30	63:37	
		Cl	0.40	66:34	
		CH ₃ O	0.20	42:58	

Table 5. Substituent Effects in Asymmetric Hydroboration

b) Ratios obtained from ΔH^{\neq} using the Eyring equation for $T = 25^{\circ}$ [25].

°) $R^1 = (R)$ -1,2-Dimethylpropyl (see 10).

For (E)-2-butene, the computed e.e. was in the same range as with $(Ipc)BH_2$ itself. The origin of this result became apparent when the bond distances and nonbonded interactions in the fully optimized transition structures of (Si)-attack were compared: With (Ipc)BH₂ (8a), d(B···C), \neq (H···B···C), and \neq (B···C···C) are 1.76 Å, 114°, and 72°, respectively, whereas with (Ipc)BHF, the values 1.75 Å, 110°, and 78°, respectively, are observed (cf. Table 3), while the smallest H · · · H distances are 2.83 and 2.71 Å for 8a and (Ipc)BHF, respectively. Surprisingly, in the case of (Ipc)BHF, the central $\mathbf{H} \cdots \mathbf{B} \cdots \mathbf{C} \xrightarrow{} \mathbf{C}$ fragment is no longer planar, the torsional angle being 10°. When isopinocampheylborane (8a) in the reactions with (E)- and (Z)-2-butene was replaced by (R)-(1,2-dimethylpropyl)borane (10) which contains the same structural features, the asymmetric induction was found to be of the same order (cf. Table 4 and 5). Rotation of the 1,2-dimethylpropyl group in the transition structures of (Si)- and (Re)-addition to (E)-2-butene gives each rise to two additional conformers (Fig. 5a,b).

For the (Si)-case, the conformers with dihedral angles $\omega(H-B-C(1)-CH_3)$ (cf. Table 3) of 200 and 345° were 2.7 and 1.4 kcal/mol, respectively, above the transition structure (Fig. 5a), whereas in the (Re)-addition, the conformers at 50 and 170° had energies 0.85and 2.63 kcal/mol, respectively, larger than the corresponding transition structure (Fig. 5b). The rotational profiles for addition to (E)-2-butene resemble closely those found for reaction of (Ipc)BH₂ (Fig. 3a, b). In line with the close similarity of (R)-(1,2-dimethyl)propylborane (10) with $(Ipc)BH_2$ (8a) in asymmetric induction, substitution of one hydride in 10 by F, Cl, or CH₃O leads to an increase in the computed e.e., especially for (Z)-2-butene (*Table 5*). These results indicate that asymmetric hydroborations can further be improved if substituent effects in chiral alkylboranes are considered. In order to test this concept, appropriate experiments are pursued in our laboratory.



Fig. 5. Energy of the transition structure for reaction of (\mathbb{R}) -(1,2-dimethylpropyl)borane (10) with (\mathbb{E}) -2-butene as a function of the dihedral angle $\omega(H-B-C(1)-CH_3)$. Heats of formation (kcal/mol) are given. a) (Si)-attack (ORTEP-plot of the transition structure); b) (Re)-attack.

Conclusions. – The regioselectivity of reactions of BH_3 with substituted olefins is well reproduced by MNDO calculations. The asymmetric induction in reactions of symmetrical olefins with little steric hindrance has likewise been investigated. Using standard transition structures, the correlation between the computed and experimental results are hardly affected, while the computations become much faster. The full optimization of the transition state of addition of BH_3 to 7b requires 300 sec, whereas the DFP optimization of the standard transition structure I (*Table 1*) for the same reaction is complete in 50 sec. In the reaction of (Ipc) BH_2 with (*Z*)-2-butene, the DFP optimization of 10 min with the standard transition structure is to be compared with 2.5 h required for the full geometry optimization⁷). The major advantage of the DFP method combined with standard transition structures becomes apparent in the search for new reagents where computer times of more than 1 h per reaction on a mainframe computer are not tolerable. Qualitatively, the regioselectivity in hydroboration is related to differences in the HOMO coefficients in the substituted olefins. For asymmetric inductions, steric interactions between the chiral substituents at the B-atom and those of the olefin play a dominant role.

⁷) For all calculations, the MNDO programs MOPAC [7] and AMPAC [26] were used on a *IBM 3090-180* at the *Bernische Datenverarbeitungs AG (BEDAG)*.

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